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Date: 09 September 2004

Coversheet + Page(s)

RECIPIENT:

FACSIMILE NUMBER

Office of Initial Patent Examination's Filing Receipt Corrections

(703) 746 - 9195

Regarding: US Patent Application Serial No. 10/676,815
Macoviak et al.
Attorney Docket No. 9542.18429-FOR

From: Daniel D. Ryan, Reg. No. 29,243

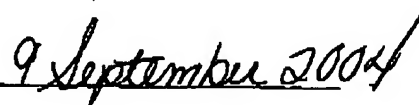
CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted to the United States Patent Office on 9 September 2004, addressed to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile No. 703-746-9195

By:


Judith Dunaway

Dated:


9 September 2004

cc: Bradford R.L. Price, Esquire

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Customer No. 26308

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Macoviak et al.
Serial No. : 10/676,815
Filed : 1 October 2003
For : Devices, Systems, and Methods for Supplementing, Repairing, or Replacing a Native Heart Valve Leaflet

Examiner: Unknown
Group Art Unit: 3738

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of the official filing receipt issued in the above matter.

Applicant requests the Patent Office to issue a new, corrected Filing Receipt in the above matter as follows:

Insert the following data under **Domestic Priority Data as Claimed by Applicant:**

This appln claims benefit of 60/429,444 11/26/2002
and claims benefit of 60/429,709 11/26/2002
and claims benefit of 60/429,462 11/26/2002

Insert the following data under **Foreign Applications:**


PCT/US02/31376 10/01/2002

A copy of the Declaration/Power of Attorney filed in this application and confirming this information is attached.

Please issue a corrected filing receipt bearing the corrected information as outlined above. Should any fees be due in connection with this matter, please charge Deposit Account No. 06-2360.

Respectfully submitted,

By


Daniel D. Ryan, Reg. No. 29,243

RYAN KROMHOLZ & MANION, S.C.
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Milwaukee, Wisconsin 53226
(262) 783 - 1300
09 September 2004

Customer No. 26308

PATENT

Docket No. 9542.18429-FOR

COMBINED DECLARATION AND POWER OF ATTORNEY
(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,
CONTINUATION OR CIP)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type: (check one applicable item below)

☒ original☐ supplemental

Type of Application: (check one applicable item below)

☒ original☐ design

NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application do not check next item; check appropriate one of last three items.

☐ national stage of PCT

NOTE: If one of the following items apply then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR CIP.

☐ divisional☐ continuation☐ continuation-in-part (CIP)

INVENTORSHIP IDENTIFICATION

WARNING: If the inventors are each not the inventors of all the claims an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

Devices, Systems, and Methods for Supplementing, Repairing, or Replacing a Native Heart Valve Leaflet

SPECIFICATION IDENTIFICATION

the specification of which: (complete (a), (b) or (c))

(a) ☐ is attached hereto.(b) ☒ was filed on 1 October 2003 as ☒ Serial No. 10/676,815or ☐ Express Mail No., as Serial No. not yet known _____

and was amended on _____ (if applicable).

NOTE: Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

(c) ☐ was described and claimed in PCT International Application No. _____
filed on _____ and as amended under PCT Article 19 on _____
(if any).

(Declaration and Power of Attorney [1-1]-page 1 of 5)

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56

(also check the following item, if desired)

- ☐ In compliance with this duty there is attached an information disclosure statement in accordance with 37 CFR 1.98.

PRIORITY CLAIM (35 U.S.C. § 119)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

- (d) ☒ no such applications have been filed.
- (e) ☐ such applications have been filed as follows.

NOTE: Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

A. PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119

| COUNTRY (OR INDICATE IF PCT) | APPLICATION NUMBER | DATE OF FILING (day, month, year) | PRIORITY CLAIMED UNDER 37 USC 119 |
|---------------------------------|-----------------------|--------------------------------------|--|
| | | | <input type="checkbox"/> YES NO <input type="checkbox"/> |
| | | | <input type="checkbox"/> YES NO <input type="checkbox"/> |
| | | | <input type="checkbox"/> YES NO <input type="checkbox"/> |
| | | | <input type="checkbox"/> YES NO <input type="checkbox"/> |
| | | | <input type="checkbox"/> YES NO <input type="checkbox"/> |

ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

NOTE: If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part, then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CIP APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. S 120.

POWER OF ATTORNEY

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Daniel D. Ryan (29,243)
John M. Manion (38,957)
Arnold J. Ericson (16,879)
Patricia A. Limbach (50,295)

Joseph A. Kromholz (34,204)
Daniel R. Johnson (46,204)
Laura A. Dable (46,436)
Patrick J. Fleis (P-55,185)

Customer No.: 26308

[] Attached as part of this declaration and power of attorney is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

SEND CORRESPONDENCE TO

Daniel D. Ryan
RYAN KROMHOLZ & MANION, S.C.
Post Office Box 28818
Milwaukee, Wisconsin 53226-0618

DIRECT TELEPHONE CALLS TO:

Daniel D. Ryan
PHONE CALLS
(262) 783 - 1300

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

NOTE: Carefully indicate the family (or last) name as it should appear on the filing receipt and all other documents.

Full name of sole or first inventor

John
(GIVEN NAME) A.
(MIDDLE INITIAL OR NAME) Macoviak
(FAMILY (OR LAST NAME))
Inventor's signature *John A. Macoviak*
Date 1-21-04 Country of Citizenship US
Residence (City, State/Country) La Jolla, California / US
Post Office Address 5412 Thunderbird Lane
La Jolla, CA 92037

Full name of second joint inventor, if any

Robert
(GIVEN NAME) T.
(MIDDLE INITIAL OR NAME) Chang
(FAMILY (OR LAST NAME))
Inventor's signature *Robert T. Chang*
Date 19 Jan 2004 Country of Citizenship US
Residence (City, State/Country) Belmont, California / US
Post Office Address 3314 Haskins Drive
Belmont, CA 94002

Full name of third joint inventor, if any

David
(GIVEN NAME) A.
(MIDDLE INITIAL OR NAME) Rahdert
(FAMILY (OR LAST NAME))
Inventor's signature *David A. Rahdert*
Date 1/19/04 Country of Citizenship US
Residence (City, State/Country) San Francisco, California / US
Post Office Address 2127 12th Avenue
San Francisco, CA 94116

Full name of fourth joint inventor, if any

Timothy
(GIVEN NAME) R.
(MIDDLE INITIAL OR NAME) Machold
(FAMILY (OR LAST NAME))
Inventor's signature *Timothy R. Machold*
Date 1/19/04 Country of Citizenship US
Residence (City, State/Country) Moss Beach, California / US
Post Office Address 65 Bernal Avenue
Moss Beach, CA 94038

Full name of fifth joint inventor, if any

Rick
(GIVEN NAME) A.
(MIDDLE INITIAL OR NAME) Soss
(FAMILY (OR LAST NAME))
Inventor's signature *Rick A. Soss*
Date 1-19-04 Country of Citizenship US
Residence (City, State/Country) Burlingame, California / US
Post Office Address 110 Bloomfield
Burlingame, CA 94010

CHECK PROPER BOXES FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH
FORM A PART OF THIS DECLARATION

☐ Signature for sixth and subsequent joint inventors.

☐ Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor.

☐ Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47.

☒ Added page to combined declaration and power of attorney for US Priority Claim

☐ Authorization of attorney(s) to accept and follow instructions from representative

(If no further pages form a part of this declaration then end this declaration with this page and check the following item:)

☐ This declaration ends with this page

Customer No. 26308

Docket No. 9542.18429-FOR

**ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY
FOR US PRIORITY CLAIM****CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. 120**

I hereby claim the benefit under Title 35, United States Code, S 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, S 112, I acknowledge the duty to disclose information that is material to the examination of this application, namely, information where there is substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS
DESIGNATING THE U.S. FOR BENEFIT UNDER 35 USC 120:**

| U.S. APPLICATIONS | U.S. FILING DATE | Status (CHECK ONE) | | |
|-------------------|-------------------|-----------------------|---------|-----------|
| | | Patented | Pending | Abandoned |
| 1.09/666,617 | 20 September 2000 | | | |
| 2.60/328,590 | 1 October 2001 | | | |
| 3.60/429,444 | 26 November 2002 | | | |
| 4.60/429,709 | 26 November 2002 | | | |
| 5.60/429,462 | 26 November 2002 | | | |

PCT APPLICATIONS DESIGNATING THE U.S.

| PCT APPLICATION NO. | PCT FILING DATE | U.S. SERIAL NOS. ASSIGNED (if any) |
|---------------------|--------------------|---------------------------------------|
| 6. PCT/US02/31376 | 1 October 2002 | |
| 7. | | |
| 8. | | |

35 USC 119 PRIORITY CLAIM, IF ANY, FOR ABOVE LISTED U.S./PCT APPLICATIONS**DETAILS OF FOREIGN APPLICATION FROM WHICH PRIORITY APPLICATION
CLAIMED UNDER 35 USC 119**

| | Country | Application No. | Date of filing (day, month, year) | Date of issue (day, month, year) |
|----|---------|-----------------|--------------------------------------|-------------------------------------|
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |
| 4. | | | | |
| 5. | | | | |
| 6. | | | | |